

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: A8707

Ravi V.J. CHARI, et al.

Appln. No.: 10/758,264

Group Art Unit: 1624

Confirmation No.: 5785

Examiner: Not yet assigned

Filed: January 14, 2004

For:

PROCESS FOR THE PREPARATION AND PURIFICATION OF THIOL-

CONTAINING MAYTANSINOIDS

<u>INFORMATION DISCLOSURE STATEMENT</u> <u>UNDER 37 C.F.R. §§ 1.97 and 1.98</u>

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents, other than any U.S. patents and patent publications, is submitted herewith.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after

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INFORMATION DISCLOSURE STATEMENT

U.S. Appln. No.: 10/758,264

filing a request for continued examination (RCE) under §1.114, and therefore, no Statement

under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

The submission of the listed documents is not intended as an admission that any such

document constitutes prior art against the claims of the present application. Applicant does not

waive any right to take any action that would be appropriate to antedate or otherwise remove any

listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue

Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any

overpayments to said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,

Registration No. 30,951

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WASHINGTON OFFICE

23373

CUSTOMER NUMBER

Date: May 28, 2004

Substitute for Form 1449 A & B/PTO	Complete if Known			
	Application Number	10/758,264		
PE INFORMATION DISCLOSURE	Confirmation Number	5785		
STATEMENT BY APPLICANT	Filing Date	January 14, 2004		
8 7004 (use as many sheets as necessary)	First Named Inventor	Ravi V.J. CHARI		
	Art Unit	1624 (Parent) Not yet assigned		
	Examiner Name			
Sheet 1 of 1	Attorney Docket Number	A8707		

	U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Document Number		Publication Date			
		Number	Kind Code ² (if known)	MM-DD-YYYY	Name of Patentee or Applicant of Cited Document		
		US 2003/0055226	Al	03-20-2003	Ravi V.J. CHARI, et al.		
		US 6,573,074	B2	06-03-2003	Mark FULSTON, et al.		

	FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date	Name of Patentee or	T	
		Country Code ³	Number⁴	Kind Code ⁵ (if known)	MM-DD-YYYY	Applicant of Cited Document	Translation ⁶	
		WO	2004/015119	A2	02-19-2004	Smithkline Beecham Corporation		
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NON PATENT LITERATURE DOCUMENTS					
Examiner Cite Initials* No.1		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.			
					

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Examiner Signature		 Date (Considered	 	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or follow the hyperlink from the title of the document to the intranet. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to indicate here if English language Translation is attached.